

60319-010

**VERIFIED STATEMENT BY ASSIGNEE CLAIMING  
SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c))  
Nonprofit Organization**

I hereby declare that I am an official of The University of Liverpool, a nonprofit constitutional corporation of the City of Liverpool, Great Britain having its principal place of business at Senate House, Abercromby Square, Liverpool L69 3BX Great Britain, and that I am empowered to act on its behalf.

I hereby declare that the above-identified organization qualifies as a nonprofit organization as defined in 37 CFR 1.9(e)(1), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: **USE OF MASS FINGERPRINTING FOR IDENTIFICATION OF PROTEIN AFFINITY LIGANDS**, by Inventor **Stephen Roy Pennington** described in the United States patent application filed herewith.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventors, who could not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under CFR 1.9(d):  
None.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent to which this verified statement is directed.

Dated:

4 July 2000

By:



D. B. HEWITSON

Title: SENIOR ASSISTANT REGISTRAR

Docket No. 60,319-010

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Stephen Roy Pennington

Serial No. Filed herewith

For: USE OF MASS FINGERPRINTING FOR IDENTIFICATION  
OF PROTEIN AFFINITY LIGANDS

Assistant Commissioner For Patents  
Washington, D.C. 20231

**COMBINED DECLARATION AND POWER OF ATTORNEY**  
(Entry into the National Phase of an International Application in the USA)

I, the undersigned inventor, hereby declare that:

My residence, post office address, and citizenship are as stated next to my name below;

I believe that I am the first and original inventor of the subject matter claimed in the application for patent entitled USE OF MASS FINGERPRINTING FOR IDENTIFICATION OF PROTEIN AFFINITY LIGANDS which is described and claimed in the U.S. Patent Application filed herewith;

I have reviewed and understand the contents of the above-identified application for patent (hereinafter "the application"), including the claims;

I acknowledge the duty under Title 37, Code of Federal Regulations, Section 1.56(a), to disclose information known to be material to the patentability of this application. I also acknowledge that information is material to patentability when it is not cumulative to information already provided to the United States Patent and Trademark Office and when it either compels, by itself or in combination with other information, a conclusion that a claim is unpatentable under the preponderance of evidence standard, before any consideration is given to evidence which may be submitted to establish a contrary conclusion of patentability, or refutes or is inconsistent with a position taken in either (i) asserting an argument of patentability, or (ii) opposing an argument of unpatentability relied on by the United States Patent and Trademark Office;

I hereby claim the priority benefit under Title 35, Section 365(c), of the following PCT International Patent Application designating the United States:

<u>Application No.</u>	<u>Filing Date</u>	<u>Based on</u>
PCT/GB99/00071	08 January 1999	UK Patent Application 9800378.3 (filed on 08 January 1998)

Where the subject matter of the claims of this application is not disclosed in the priority PCT International Application, we acknowledge the duty to disclose information known to be material to the patentability of this application that became available between the filing dates of this application and of the priority PCT International Application;

6 I hereby appoint as attorneys with full power of substitution to prosecute this application and conduct all business in the United States Patent and Trademark Office associated with this application the firm of DYKEMA GOSSETT PLLC, including Charles R. Rutherford, Reg. No. 18,933, Robert L. Kelly, Reg. No. 31,843, Ernest E. Helms, Reg. No. 29,721, Kevin M. Hinman, Reg. No. 35,193, William F. Kolakowski III, Reg. No. 41,908, and John F. Buckert, Reg. No. 44,572, located at 39577 Woodward Avenue, Suite 300, Bloomfield Hills, Michigan 48304.

declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

Full Name of Inventor: Stephen Roy Pennington

Inventor's Signature: [Signature]

Post Office and Residence: University of Liverpool, L69 3GE, U.K. CBX

Citizenship: British

Date: 4th July 2000

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